

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 31869-191016

First Inventor Akihiko NISHIKI

Title OPTICAL FILTER

Express Mail Label No.

0074-104-03
08/04/03
TO
6289**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 32]
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. Oath or Declaration [Total Pages]
 - a. Priority is claimed under the provisions of 35 U.S.C. § 120
Appln No. 09/833,661 filed in United States on April 13, 2001.
Appln No. _____ filed in _____ on _____
6. Application Data Sheet. See 37 CFR 1.76
- 6a. Priority is claimed under the provisions of 35 U.S.C. § 120
Appln No. 09/833,661 filed in United States on April 13, 2001.
Appln No. _____ filed in _____ on _____

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 C.F.R. §3.73(b) Statement Power of (when there is an assignee) Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: This application is assigned to Oki Electric Industry Co., Ltd., pursuant to the Assignment recorded at 011931/Frame 0571 on June 26, 2001.

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)of prior application No: 09 / 833,661Prior application information: Examiner Kaveh C. KianniGroup / Art Unit: 2877

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

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Name (Print/Type)	Michael A. Sartori, Ph.D.	Registration No. (Attorney/Agent)	41,289
Signature			Date <u>August 4, 2003</u>

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16179
U.S.
PTO
30/40/80

FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 750)

Complete if Known	
Application Number	NOT YET ASSIGNED
Filing Date	August 4, 2003
First Named Inventor	Akihiko NISHIKI
Examiner Name	NOT YET ASSIGNED
Group / Art Unit	NOT YET ASSIGNED
Attorney Docket No.	31869-191016

METHOD OF PAYMENT (check all that apply)					FEE CALCULATION (continued)																																																																																																																																										
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SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	Michael A. Sartori, Ph.D.	Registration No. Attorney/Agent)	41,289	Telephone	(202) 962-4800
Signature				Date	August 4, 2003

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